

# Environmental Multiway Data Mining



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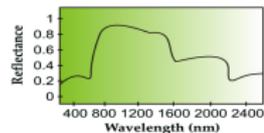
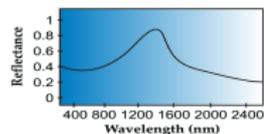
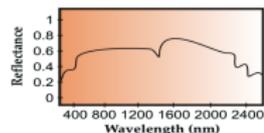
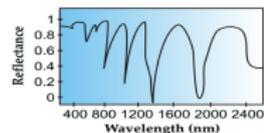
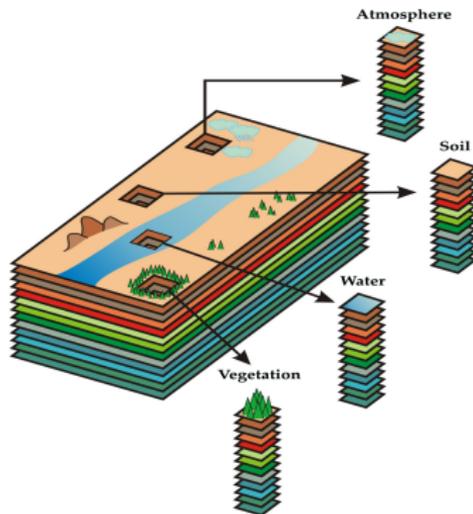
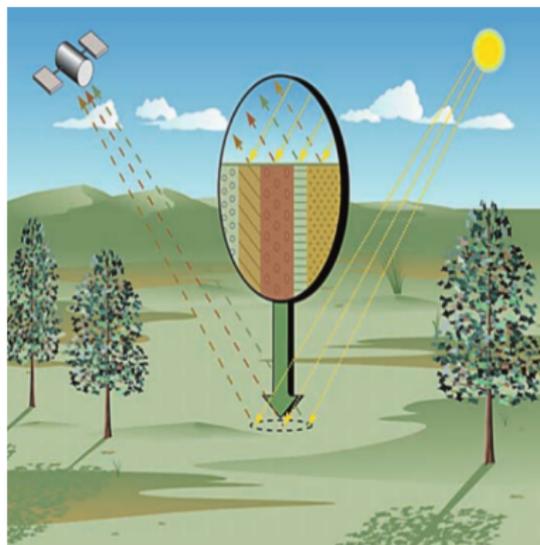
PhD Supervisor : Pierre Comon

# Acknowledgements



- 1 Introduction
  - Some environmental multiway data
  - Canonical Polyadic Decomposition
  - Challenges in environmental data mining
- 2 Compressed Constrained CPD
- 3 Multiway Data Fusion
- 4 Current Works
- 5 Conclusion

# Hyperspectral imaging principle



- Each image is a **mixture** of various materials.
- Each material has a unique spectral response.

Credits for illustrations : Veganzones(left) and Bioucas(right)

# Hyperspectral Data



Snow in the Alps [Veganzones,2015]

# Hyperspectral Data

$\lambda = 700nm$



Fold 1D  $\leftarrow$  2D



Snow in the Alps [Veganzones,2015]

# Hyperspectral Data

 $\lambda = 700nm$ 

⋮

 $\lambda = 900nm$ 

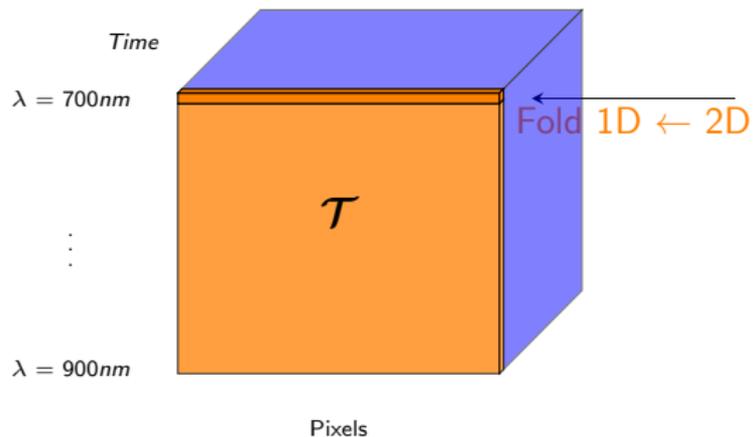
Pixels

← Fold 1D ← 2D



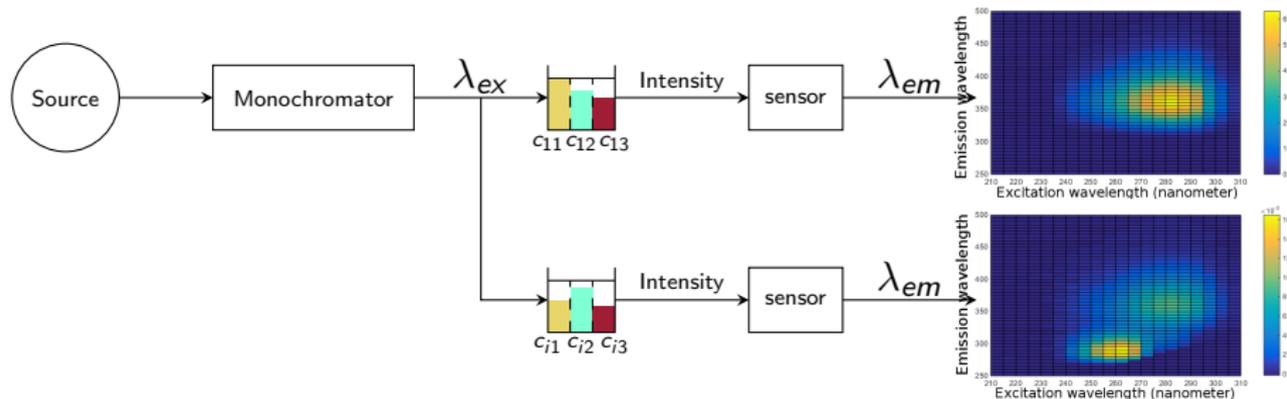
Snow in the Alps [Veganzones,2015]

# Hyperspectral Data



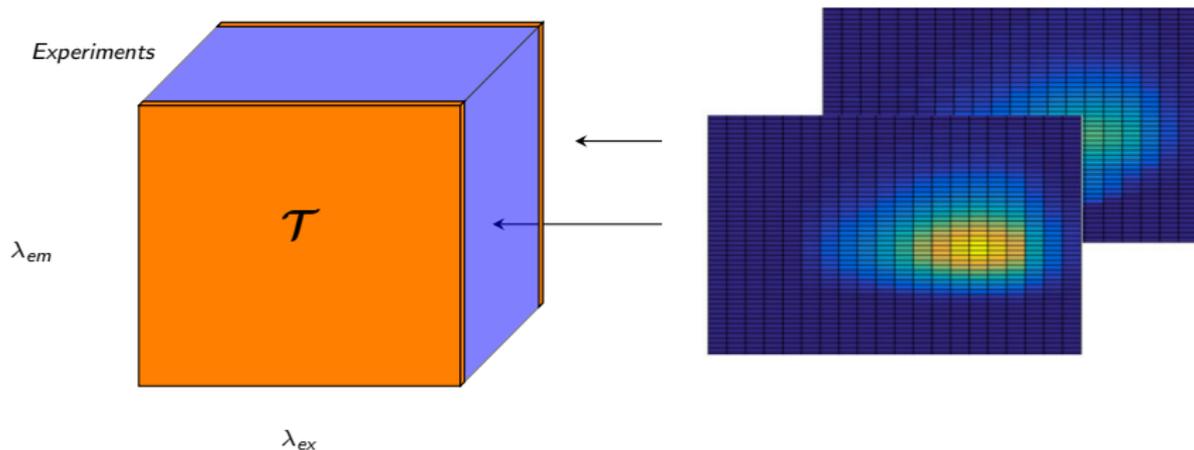
Snow in the Alps [Veganzones,2015]

# Another example : Fluorescence Spectroscopy

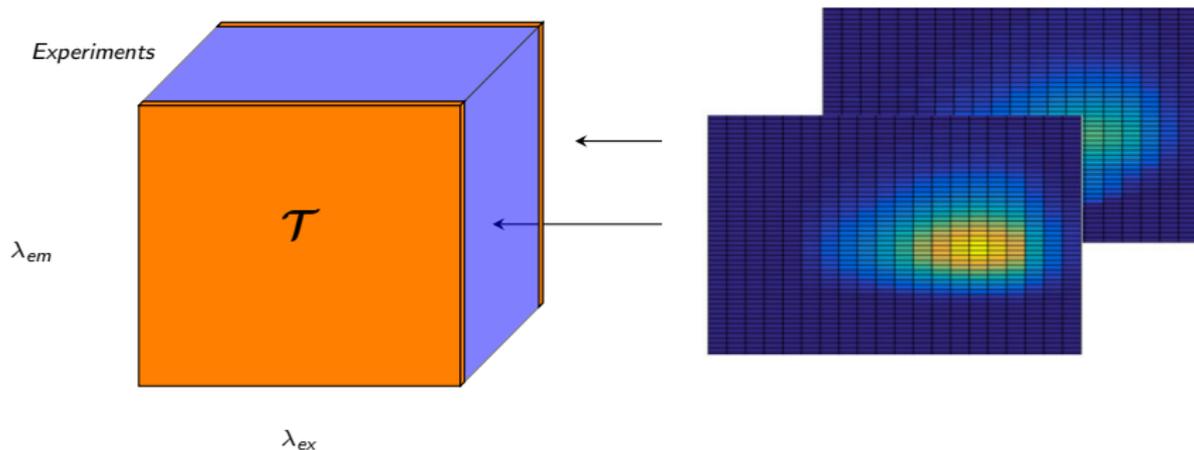


[Acar,2013]

# Fluorescence Spectroscopy Data



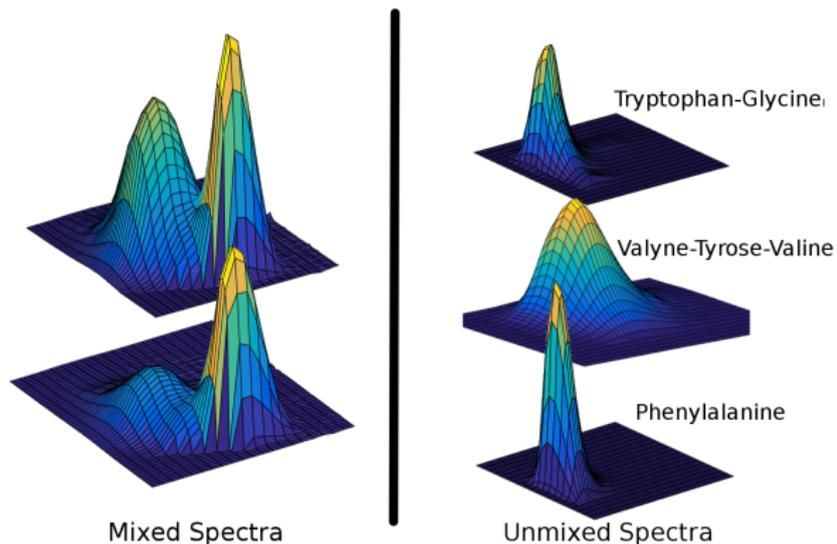
# Fluorescence Spectroscopy Data



- Multiway arrays (tensors) appear naturally in data processing.
- Data are **mixtures** of signals unique to each material or chemical.

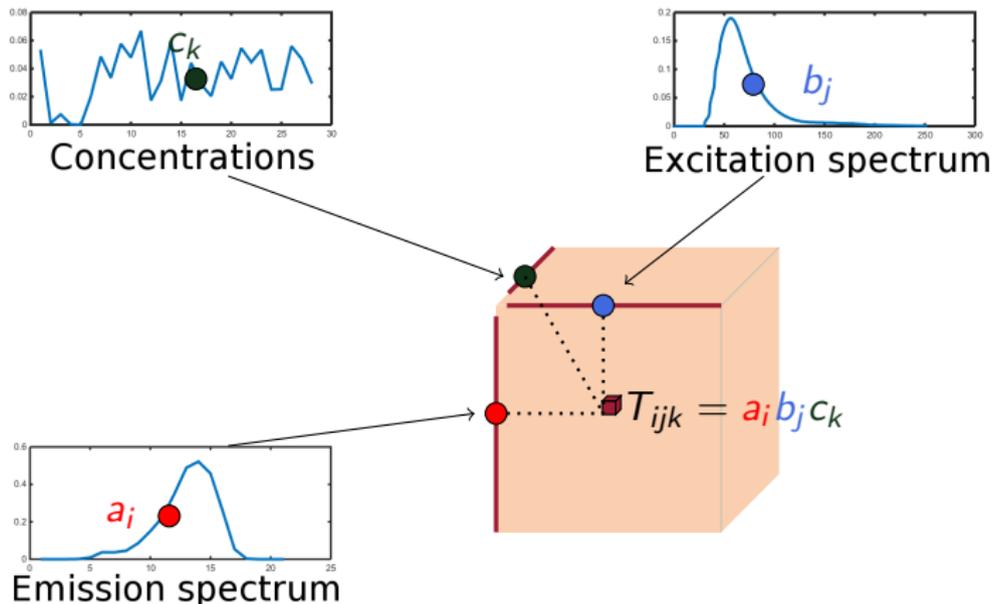
# Main Issue : unmixing the data

How to extract meaningful information from multiway data ?



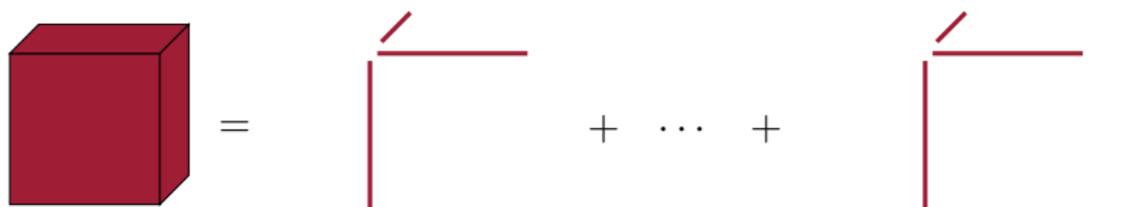
# Unmixed data = rank 1 tensors

In some cases, meaningful information is contained in **simpler** tensors i.e. rank 1 tensors :



# Main tool : Canonical Polyadic Decomposition

Canonical Polyadic Decomposition [Hitchcock,1927] aims at extracting all  $R$  components.

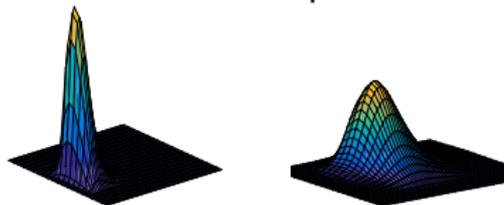


$$\text{Tensor} = \text{first component} + \dots + \text{Rth component}$$

- Unmixing does not require additional knowledge
- Not applicable for 2-way arrays

# Challenges in environmental and biomedical data mining

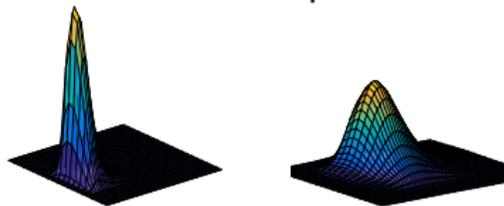
- Constrained Decompositions - Compressed Decompositions



→ Nonnegative Large tensor

# Challenges in environmental and biomedical data mining

- Constrained Decompositions - Compressed Decompositions



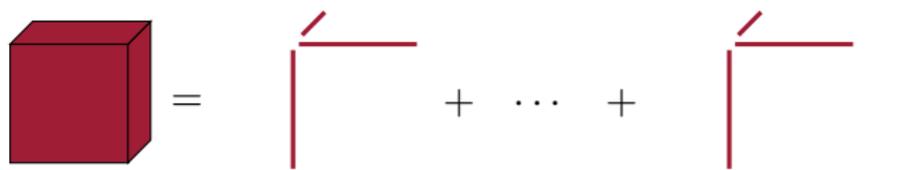
→ Nonnegative Large tensor

- Data Fusion

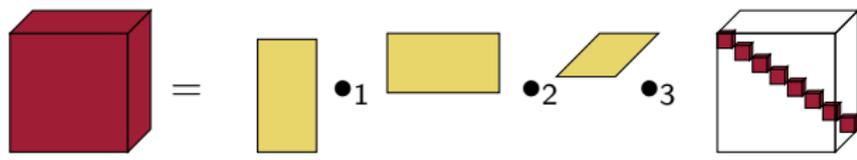


- 1 Introduction
- 2 Compressed Constrained CPD
  - Some definitions and properties
  - Compressed-based CPD
  - Nonnegative CPD
- 3 Multiway Data Fusion
- 4 Current Works
- 5 Conclusion

## Some notations



$$\mathcal{T} = \mathbf{a}_1 \otimes \mathbf{b}_1 \otimes \mathbf{c}_1 + \dots + \mathbf{a}_R \otimes \mathbf{b}_R \otimes \mathbf{c}_R$$



$$\mathcal{T} = (\mathbf{A} \otimes \mathbf{B} \otimes \mathbf{C}) \mathcal{I}_R$$

$\mathcal{T}$  has sizes  $K \times L \times M$

$\otimes$  is the tensor product

$R$  is the rank of  $\mathcal{T}$ , i.e. smallest number of rank-one tensors spanning  $\mathcal{T}$ .

$\mathbf{A} = [\mathbf{a}_1, \dots, \mathbf{a}_R]$  has sizes  $K \times R$

$\bullet_i$  is the contraction on mode  $i$

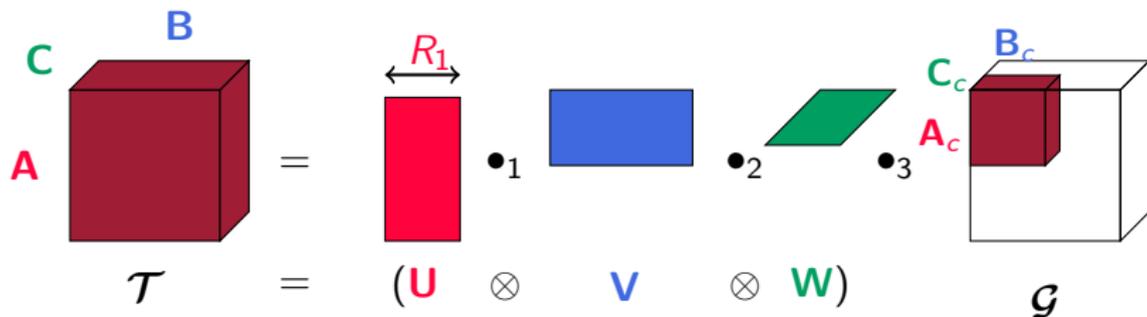
# Some definitions and properties : multilinear transformation

## Definition (Orthogonal Tucker Decomposition)

A tensor  $\mathcal{T} \in \mathbb{R}^K \otimes \mathbb{R}^L \otimes \mathbb{R}^M$  can be expressed in an orthonormal basis  $\mathbf{U} \otimes \mathbf{V} \otimes \mathbf{W}$  so that

$$\mathcal{T} = (\mathbf{U} \otimes \mathbf{V} \otimes \mathbf{W}) \mathcal{G}$$

where  $\mathbf{U} \in K \times R_1$ ,  $\mathbf{V} \in L \times R_2$ ,  $\mathbf{W} \in M \times R_3$  and  $R_1, R_2, R_3 \leq \text{rank}(\mathcal{T})$ .

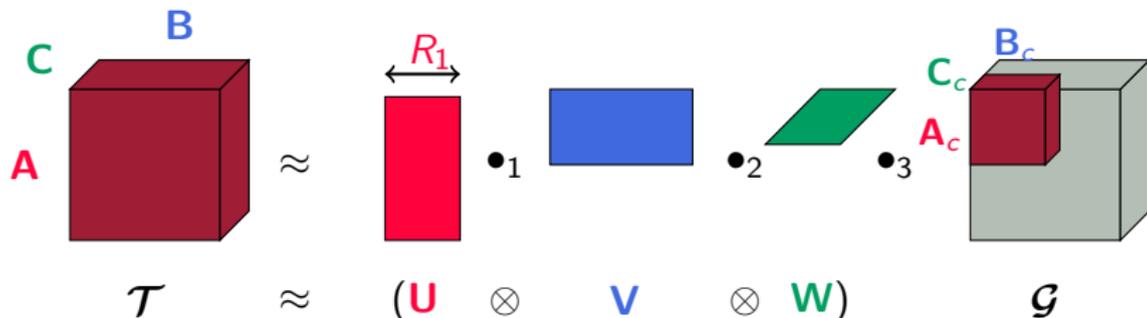


# Tensor compression in the noisy case

In the noisy case :

[DeLathauwer,2000]

(approximate) truncated HOSVD



where  $\hat{\mathbf{U}}\mathbf{N}_1 = \text{TSVD}(\mathbf{T}_{(1)})$      $\hat{\mathbf{V}}\mathbf{N}_2 = \text{TSVD}(\mathbf{T}_{(2)})$

$\hat{\mathbf{W}}\mathbf{N}_3 = \text{TSVD}(\mathbf{T}_{(3)})$

$$\hat{\mathcal{T}} \approx (\hat{\mathbf{U}} \otimes \hat{\mathbf{V}} \otimes \hat{\mathbf{W}}) \hat{\mathcal{G}} \quad \text{and} \quad \hat{\mathcal{G}} = (\mathbf{A}_c \otimes \mathbf{B}_c \otimes \mathbf{C}_c) \mathcal{I}_R + \mathcal{E}_c$$

# Tensor CPD using compression

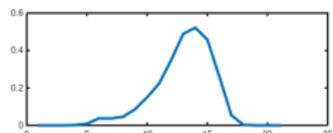
- ① Compress  $\mathcal{T}$  using any fast HOSVD
- ② Decompose  $\hat{\mathcal{G}}$  to get  $\hat{\mathbf{A}}_c, \hat{\mathbf{B}}_c, \hat{\mathbf{C}}_c$
- ③ Decompress :  $\hat{\mathbf{A}} = \hat{\mathbf{U}}\hat{\mathbf{A}}_c, \hat{\mathbf{B}} = \hat{\mathbf{V}}\hat{\mathbf{B}}_c, \hat{\mathbf{C}} = \hat{\mathbf{W}}\hat{\mathbf{C}}_c$

Time in seconds for CP dec.  $N \times N \times N$  - rank 5 random tensor :

N	10	50	100	200	300
Alternating Least Squares (ALS)	0.36	0.70	1.92	7.13	26.43
Compressed ALS	0.33	0.39	0.45	0.93	2.14

# Nonnegativity constraints

In many applications :  $\mathbf{A}, \mathbf{B}, \mathbf{C} \geq 0$



Emission spectrum

Compressed Nonnegative CPD :

$$\begin{aligned} & \min_{\mathbf{A}_c, \mathbf{B}_c, \mathbf{C}_c} && \|\widehat{\mathcal{G}} - (\mathbf{A}_c \otimes \mathbf{B}_c \otimes \mathbf{C}_c) \mathcal{I}_R\|_F^2 \\ & \text{sub. to} && \widehat{\mathbf{U}} \mathbf{A}_c, \widehat{\mathbf{V}} \mathbf{B}_c, \widehat{\mathbf{W}} \mathbf{C}_c \geq 0 \end{aligned}$$

Issue : Difficult exact projection on  $\widehat{\mathbf{U}} \mathbf{A}_c \geq 0$

# The uncompressed projective algorithm : ANLS

The cost function is minimized with respect to each factor alternatively :

$$\begin{array}{ll} \min_{\mathbf{A}} & \|\mathcal{T} - (\mathbf{A} \otimes \mathbf{B} \otimes \mathbf{C})\mathcal{I}_R\|_F^2 \\ \text{sub. to} & \mathbf{A} \geq 0 \end{array}$$

First, the unconstrained least squares update is computed :

$$\hat{\mathbf{A}} = \mathbf{T}_1(\mathbf{B} \odot \mathbf{C})^\dagger$$

Then the least squares estimate is **projected** on the constraint space :

$$\hat{\mathbf{A}} = [\hat{\mathbf{A}}]^+$$

# Approximate projection and PROCO-ALS

Approximate projection  $\Pi$  :

Given Least Squares update  $\hat{\mathbf{A}}_c$

- 1 Decompression :  $\hat{\mathbf{A}} := \hat{\mathbf{U}}\hat{\mathbf{A}}_c$
- 2 Projection :  $\hat{\mathbf{A}} := [\hat{\mathbf{A}}]^+$
- 3 Compression :  $\hat{\mathbf{A}}_c := \hat{\mathbf{U}}^T\hat{\mathbf{A}}$

$$\Pi [\hat{\mathbf{A}}] = \mathbf{U}^T [\mathbf{U}\hat{\mathbf{A}}_c]^+$$

**Projected and compressed framework (PROCO) [Cohen,2014]**

## Other possible algorithms and related problems

- PROCRA-ALS [Cohen,2014], Compressed-AOADMM [Cohen,2016]

$$\begin{aligned} & \text{minimize } \|\widehat{\mathcal{G}} - (\mathbf{A}_c \otimes \mathbf{B}_c \otimes \mathbf{C}_c) \mathcal{I}_R\|_F^2 \\ & \text{w.r.t. } \mathbf{A}_c, \mathbf{B}_c, \mathbf{C}_c \\ & \text{s.t. } \widehat{\mathbf{U}} \mathbf{A}_c \succeq 0 \end{aligned}$$

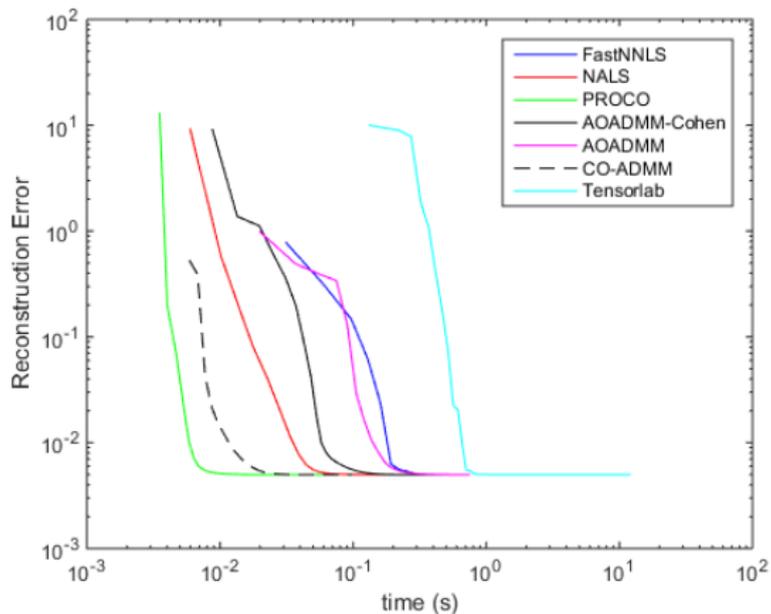
- Tensorlab 3.0 [Vervliet,2016]

$$\begin{aligned} & \text{minimize } \|\left(\widehat{\mathbf{U}} \otimes \widehat{\mathbf{V}} \otimes \widehat{\mathbf{W}}\right) \widehat{\mathcal{G}} - (\mathbf{A} \otimes \mathbf{B} \otimes \mathbf{C}) \mathcal{I}_R\|_F^2 \\ & \text{w.r.t. } \mathbf{A}, \mathbf{B}, \mathbf{C} \\ & \text{s.t. } \mathbf{A} \succeq 0 \end{aligned}$$

- AOADMM [Huang,2015], FastNNLS [Bro,1997], ANLS

$$\begin{aligned} & \text{minimize } \|\mathcal{T} - (\mathbf{A} \otimes \mathbf{B} \otimes \mathbf{C}) \mathcal{I}_R\|_F^2 \\ & \text{w.r.t. } \mathbf{A}, \mathbf{B}, \mathbf{C} \\ & \text{s.t. } \mathbf{A} \succeq 0 \end{aligned}$$

# Simulated Data



Size :  $100 \times 100 \times 100$

Rank : 5

Gaussian factors

$R_i : 5 \times 5 \times 5$

SNR : 30dB

Gaussian i.i.d. noise

# Experimental Data : Fluorescence Spectroscopy

**Fluorescence spectroscopy data :** excitation spectra  
 emission spectra  
 mixtures

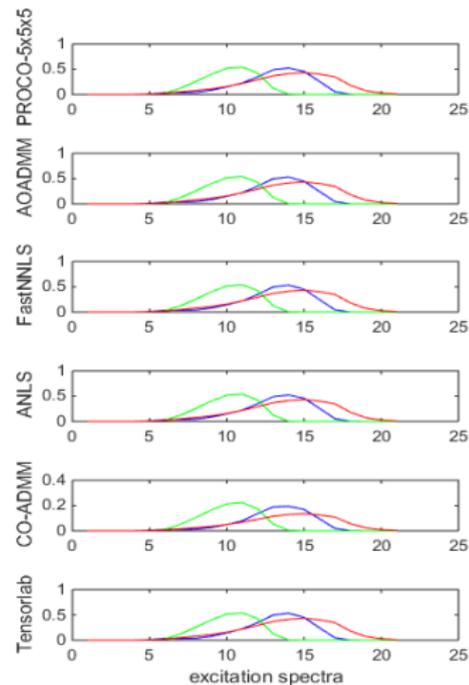
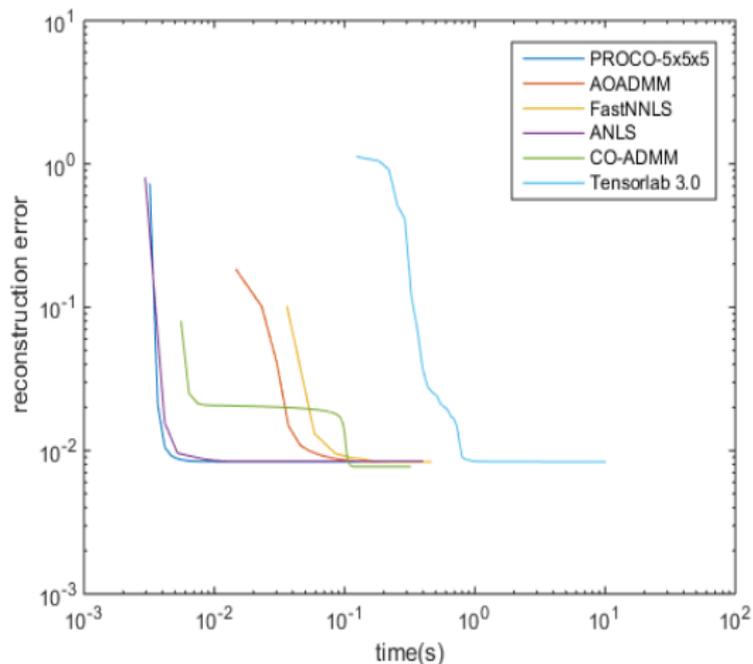
multimodal chemometrics data set from [Acar,2013]

## Description

5 compounds : Valine-Tyrosine-Valine (Val), Tryptophan- Glycine (Gly), Phenylalanine (Phe), Maltoheptaose (Mal) and Propanol (Pro)

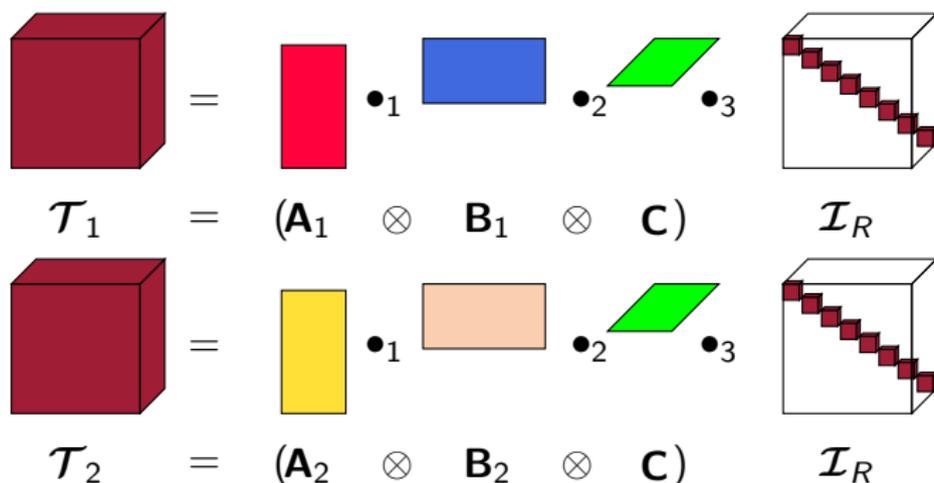
Nb. of excitation wave lengths	21 (A)
Nb. of emission wave lengths	251 (B)
Nb. of Mixtures	28 (C)
Missing values	30% (replaced by zeros)

# Experimental data : Results



- 1 Introduction
- 2 Compressed Constrained CPD
- 3 Multiway Data Fusion
  - Problem statement
  - Flexible data fusion
  - Experiments
- 4 Current Works
- 5 Conclusion

## Direct coupling [Harshman,1984]



Example : Fluorescence spectroscopy data and Nuclear Magnetic Resonance data

## Direct coupling (2)

$$\forall i \in [1, N], \quad \begin{cases} \mathcal{T}_i &= (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_R + \boldsymbol{\varepsilon}_i \\ \mathbf{C}_i &= \mathbf{C}^* \end{cases}$$

If the noises  $\boldsymbol{\varepsilon}_i$  are Gaussian with i.i.d. entries, then the Maximum Likelihood Estimator (MLE) of the factors is

$$\operatorname{argmin}_{\mathbf{A}_i, \mathbf{B}_i, \mathbf{C}} \sum_{i=1}^N \|\mathcal{T}_i - (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}^*) \mathcal{I}_R\|_F^2$$

For computation,

- CMTF by Acar et al.
- ALS by Cabral Farias, Cohen et al. (Tensor Package)
- Tensorlab 3.0 by Vervliet et al.

## Other coupling models

- Parafac 2 [Harshman,1972] :

$$\forall i \in [1, M], \begin{cases} \mathcal{T}_i = (\mathbf{A} \otimes \mathbf{B} \otimes \mathbf{C}_i) \boldsymbol{\Sigma}_{iR} + \boldsymbol{\mathcal{E}}_i \\ \mathbf{C}_i = \mathbf{P}_i \mathbf{C}^* \\ \mathbf{P}_i^T \mathbf{P}_i = \mathbf{I} \end{cases}$$

- Shift Parafac [Harshman,2003] :

$$\forall i \in [1, M], \begin{cases} \mathbf{M}_i = (\mathbf{A} \otimes \mathbf{B}_i) \boldsymbol{\Sigma}_{iR} + \mathbf{E}_i \\ \mathbf{b}_r^{(i)} = \tau^{\delta_{ir}}(\mathbf{b}_r^*) \end{cases}$$

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A more general framework ?

## General Framework using a Bayesian approach [Cabral Farias, Cohen,2015]

- Parameters  $\theta_i = \begin{bmatrix} \text{vec}(\mathbf{A}_i) \\ \text{vec}(\mathbf{B}_i) \\ \text{vec}(\mathbf{C}_i) \end{bmatrix}$  are random
- Known prior distribution  $p(\theta_1, \dots, \theta_N)$  and likelihoods  $p(\mathcal{Y}_i | \theta_i)$

### MAP estimation under conditionnal independance

$$\arg \max_{\theta_1, \dots, \theta_N} p(\theta_1, \dots, \theta_N | \mathcal{Y}_1, \dots, \mathcal{Y}_N) = \arg \min_{\theta_1, \dots, \theta_N} \Upsilon(\theta_1, \dots, \theta_N)$$

$$\begin{aligned} \Upsilon(\theta_1, \dots, \theta_N) &= - \sum_{i=1}^N \log p(\mathcal{Y}_i | \theta_i) - \log p(\theta_1, \dots, \theta_N) \\ &= \text{data fitting terms} + \text{coupling} \end{aligned}$$

## Examples of flexible coupling models

### Noisy exact coupling on $\mathbf{C}_i$

$$\forall i \in [1, N], \begin{cases} \mathcal{T}_i &= (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_R + \boldsymbol{\varepsilon}_i \\ \mathbf{C}_i &= \mathbf{C}^* + \boldsymbol{\Gamma}_i \\ \boldsymbol{\Gamma}_i &\sim \mathcal{AN} \left( \mathbf{0}, \frac{1}{\sigma_{c,i}^2} \mathbf{I} \otimes \mathbf{I} \right) \end{cases}$$

$$\Upsilon(\boldsymbol{\theta}_1, \dots, \boldsymbol{\theta}_N, \mathbf{C}^*) = - \sum_{i=1}^N \frac{1}{\sigma_1^2} \|\mathcal{T}_i - (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_R\|_F^2 - \sum_{i=1}^N \frac{1}{\sigma_{ci}^2} \|\mathbf{C}_i - \mathbf{C}^*\|_F^2$$

# Examples of flexible coupling models

## Noisy exact coupling on $\mathbf{C}_i$

$$\forall i \in [1, N], \begin{cases} \mathcal{T}_i &= (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_R + \boldsymbol{\varepsilon}_i \\ \mathbf{C}_i &= \mathbf{C}^* + \boldsymbol{\Gamma}_i \\ \boldsymbol{\Gamma}_i &\sim \mathcal{N}\left(\mathbf{0}, \frac{1}{\sigma_{c,i}^2} \mathbf{I} \otimes \mathbf{I}\right) \end{cases}$$

$$\Upsilon(\boldsymbol{\theta}_1, \dots, \boldsymbol{\theta}_N, \mathbf{C}^*) = - \sum_{i=1}^N \frac{1}{\sigma_1^2} \|\mathcal{T}_i - (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_R\|_F^2 - \sum_{i=1}^N \frac{1}{\sigma_{ci}^2} \|\mathbf{C}_i - \mathbf{C}^*\|_F^2$$

## Linear coupling on $\mathbf{C}_i$

$$\forall i \in [1, N], \begin{cases} \mathcal{T}_i &= (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_R + \boldsymbol{\varepsilon}_i \\ \mathbf{H}_i \mathbf{C}_i &= \mathbf{H}_j \mathbf{C}_j + \boldsymbol{\Gamma}_{ij} \\ \boldsymbol{\Gamma}_{ij} &\sim \mathcal{N}\left(\mathbf{0}, \frac{1}{\sigma_{ij}^2} \mathbf{I} \otimes \mathbf{I}\right) \end{cases}$$

# Optimization Strategies

## Alternating Least Squares (ALS) based methods

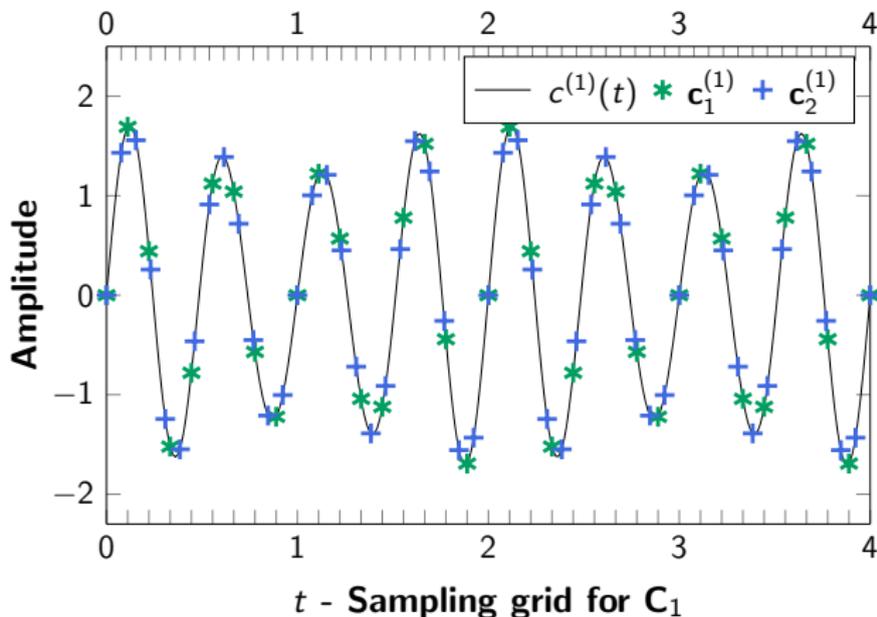
- Fast
- Simple to implement
- Tackle various coupling models
- Require warm initialization
- Unadapted for non-Gaussian distributions

## Second-order methods (Tensorlab 3.0)

- Tackle a wide variety of models and noise distributions
- Not very sensitive to initialization
- Slow

## Simulation : Resampling Bandlimited Signals

Bandlimited periodic signal

Sampling grid for  $C_2$ 



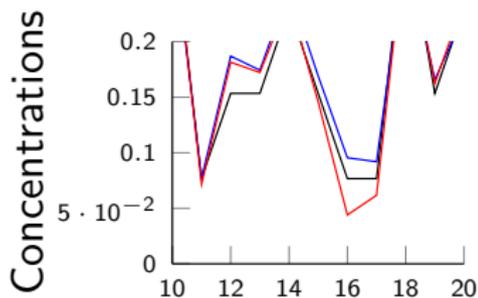
# Experimental Data : NMR

**Nuclear magnetic resonance data :** chemical shifts  
gradient levels  
mixtures

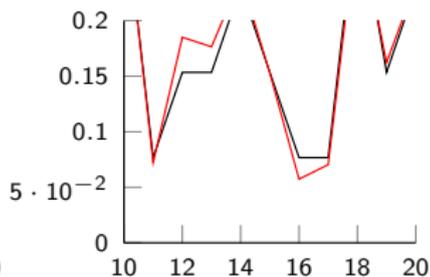
same sample presented previously : **coupling through C**

Nb. of chemical shifts	13324 ( <b>A'</b> )
Nb. of gradient levels	8 ( <b>B'</b> )
Nb. of Mixtures	28 ( <b>C</b> )
Missing values	0% (replaced by zeros)

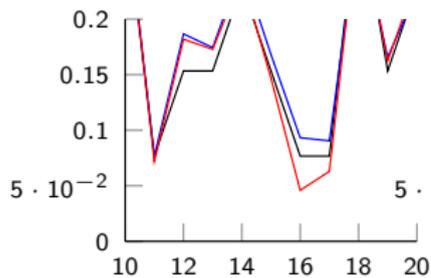
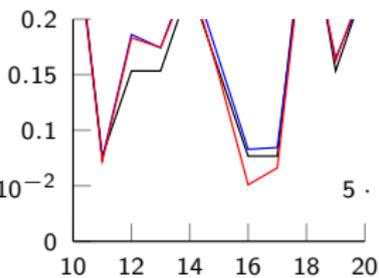
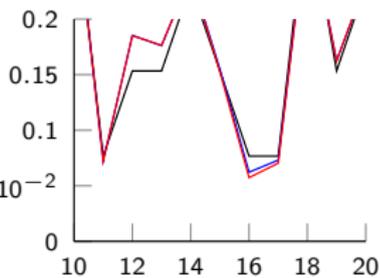
# Results : Relative Concentrations of Phenylalanine



Uncoupled ALS

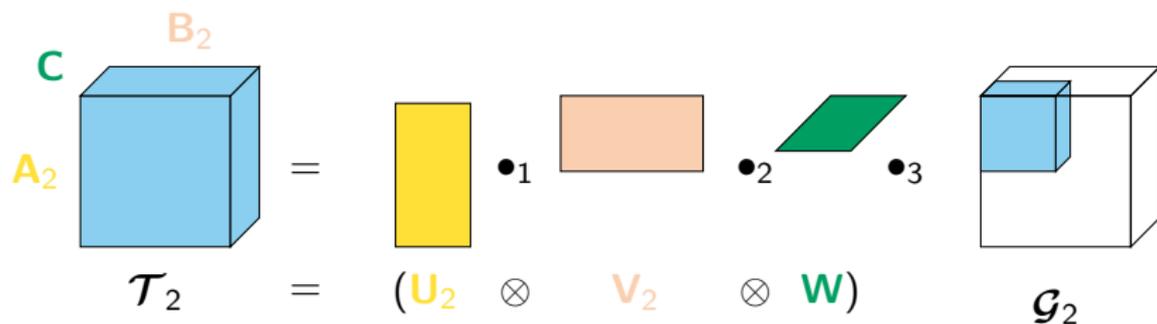
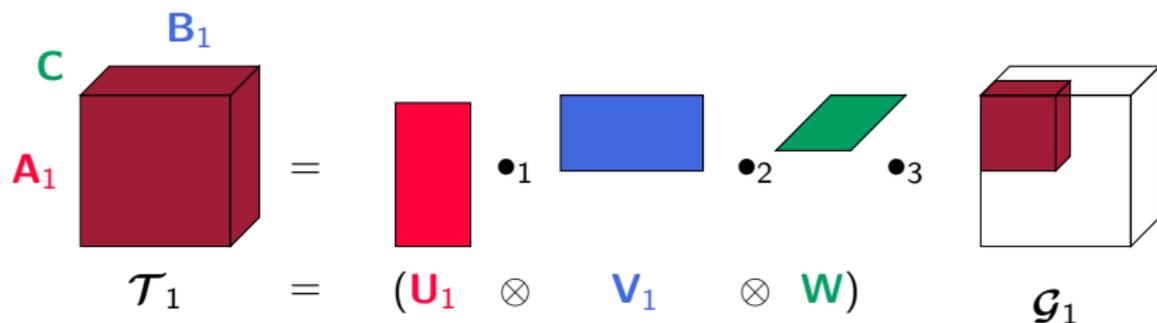


Exact coupled ALS

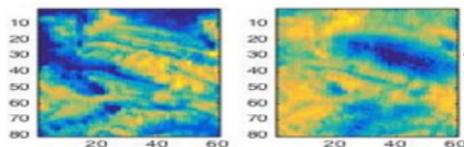
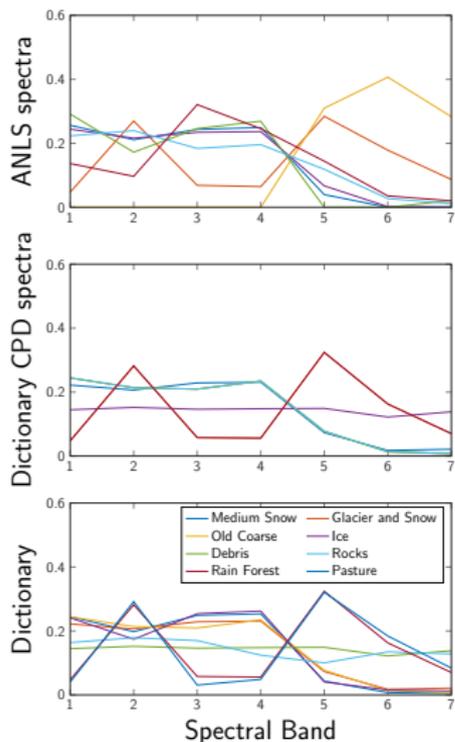
Hybrid ALS  $\sigma_c = 5$ Hybrid ALS  $\sigma_c = 2$ Hybrid ALS  $\sigma_c = 0.5$

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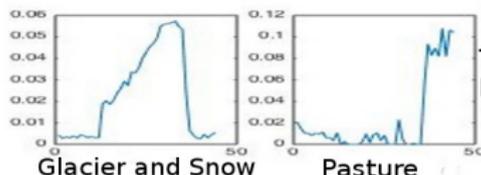
# Joint Compression



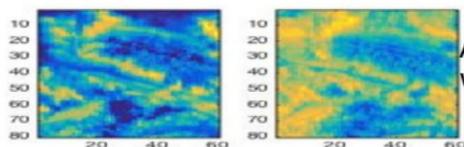
# Dictionary-based CPD : Linear and Sparsity constraints



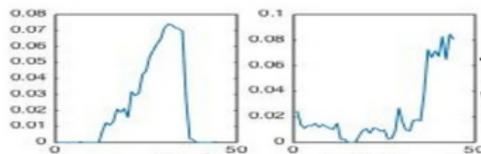
Abundances  
with Dictionary



Time evolution with  
Dictionary



Abundances  
without Dictionary



Time evolution  
without Dictionary

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# Conclusions

- Fast algorithm PROCO-ALS for constrained decompositions when projection is available. Need more theoretical results.
- Data fusion framework, first step towards non-trivial coupling models. More experimental data required.
- Among other research topics covered during the PhD : non-linear fluorescence tensor decomposition, notations, data fusion for Gaze-EEG data.

## Selected list of publications



J. E. Cohen, P. Comon, and X. Luciani, "Correcting inner filter effects, a non multilinear tensor decomposition method," *Chemometrics and Intelligent Laboratory Systems*, vol. 150, pp. 29–40, 2016.



M. A. Veganzones, J. E. Cohen, R. Cabral Farias, J. Chanussot, and P. Comon, "Nonnegative tensor CP decomposition of hyperspectral data," *IEEE Transactions on Geoscience and Remote Sensing*, Nov. 2015.

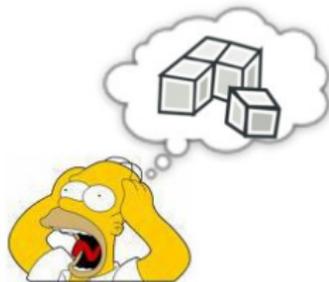


J. E. Cohen, R. C. Farias, and P. Comon, "Fast decomposition of large nonnegative tensors," *IEEE Signal Processing Letters*, vol. 22, no. 7, pp. 862–866, 2015.

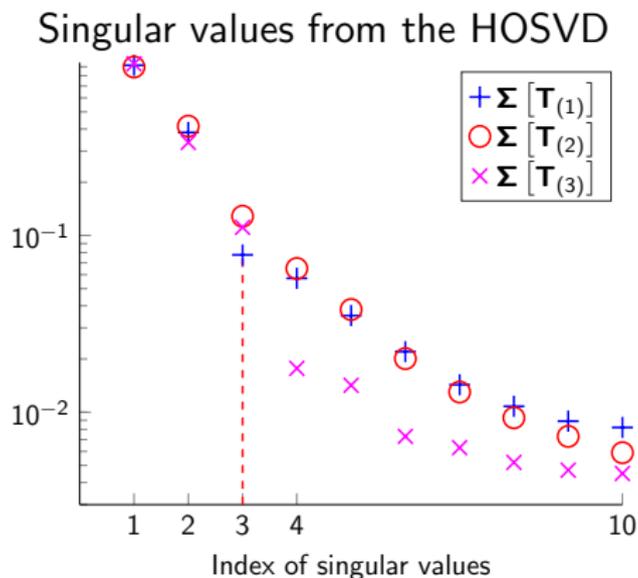


R. Cabral Farias, J. E. Cohen, C. Jutten, and P. Comon, "Joint decompositions with flexible couplings," in *12th International Conference on Latent Variable Analysis and Signal Separation (LVA/ICA)*, (Liberec, Czech Republic), Aug. 2015.

Thank you for your attention !



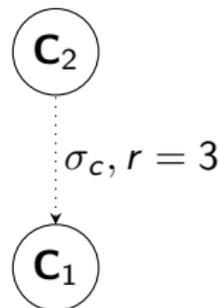
# Rank and minimum compressed dimensions



Exploratory : it suggests a **Rank 2 or 3** : Mal and Pro are not fluorescent

# Modeling

$$\left\{ \begin{array}{l} \mathcal{T}_{EEM} = (\mathbf{A}_{EEM} \otimes \mathbf{B}_{EEM} \mathbf{C}_{EEM}) \mathcal{I}_3 + \mathcal{E}_{EEM} \\ \mathcal{T}_{NMR} = (\mathbf{A}_{NMR} \otimes \mathbf{B}_{NMR} \mathbf{C}_{NMR}) \mathcal{I}_5 + \mathcal{E}_{NMR} \\ \mathbf{C}_{EEM} = \mathbf{C}_{NMR}(r = 1, 2, 3) + \mathbf{\Gamma}_c \\ \|\mathbf{c}_i^{EEM}\|_1 = 1 \quad \forall i \leq 3 \\ \mathcal{E}_{EEM} \sim \mathcal{AN}(\mathbf{0}, \mathbf{I}_{21} \otimes \mathbf{I}_{251} \otimes \mathbf{I}_{28}) \\ \mathcal{E}_{NMR} \sim \mathcal{AN}\left(\mathbf{0}, \frac{1}{\sigma_{NMR}^2} \mathbf{I}_8 \otimes \mathbf{I}_{13324} \otimes \mathbf{I}_{28}\right) \\ \mathbf{\Gamma}_c \sim \mathcal{AN}\left(\mathbf{0}, \frac{1}{\sigma_c^2} \mathbf{I}_{28} \otimes \mathbf{I}_3\right) \end{array} \right.$$



# Challenges in environmental data mining

- Constrained Compression

$$\begin{cases} \mathcal{G} = (\mathbf{A}_c \otimes \mathbf{B}_c \otimes \mathbf{C}_c) \mathcal{I}_R + \mathcal{E}_c \\ \mathbf{W}\mathbf{C}_c \in \mathcal{S}_C \end{cases}$$

- Multiway Data Fusion

$$\begin{cases} \mathcal{T}_i = (\mathbf{A}_i \otimes \mathbf{B}_i \otimes \mathbf{C}_i) \mathcal{I}_{R_i} + \mathcal{E}_i \\ \mathbf{A}_i = f_i^{(\mathbf{A})}(\mathbf{A}^*), \quad f_i^{(\mathbf{A})} \in \mathcal{F}(\mathbf{A}) \\ \mathbf{B}_i = f_i^{(\mathbf{B})}(\mathbf{B}^*), \quad f_i^{(\mathbf{B})} \in \mathcal{F}(\mathbf{B}) \\ \mathbf{C}_i = f_i^{(\mathbf{C})}(\mathbf{C}^*), \quad f_i^{(\mathbf{C})} \in \mathcal{F}(\mathbf{C}) \end{cases}$$

## Some definitions and properties : tensor space and rank

### Definition (Tensor space)

A tensor space  $\mathcal{E} \otimes \mathcal{F}$  is the linear space obtained by mapping all bilinear maps on  $\mathcal{E} \times \mathcal{F}$  to linear maps. It is unique up to isomorphisms.

### Definition (Tensor and rank)

A real valued tensor  $\mathcal{T}$  is a vector of a tensor space  $(\mathbb{R}^K \otimes \mathbb{R}^L \otimes \mathbb{R}^M, \otimes)$ . The rank of  $\mathcal{T}$  is the minimal number of elements  $\mathbf{a} \otimes \mathbf{b} \otimes \mathbf{c}$  needed to express  $\mathcal{T}$ . A tensor can be considered low rank when  $R$  is much smaller than the dimensions  $K, L, M$ .

When the tensor product  $\otimes$  is cast as the outer product  $\circ$ , tensors can be considered as multiway arrays without loss of generality.

# Results : Emission spectra and chemical shifts

